SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Delbert Collett.

WHEREAS, this agreement involves the matter styled Executive Branch Ethics Commission v. Delbert Collett, Case No. 20-003;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on March 31, 2020, the Commission alleged facts in an Initiating Order that Delbert Collett violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (d), KRS 11A.020(2);

WHEREAS, Delbert Collett was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Delbert Collett indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Delbert Collett agree, pursuant to KRS 11A.100, as follows:

- 1. Delbert Collett admits that he committed one count in violation of the Executive Branch Code of Ethics codified at 11A.020(1)(a), (b), (d), KRS 11A.020(2), as stated in the Appendix A to the Commission's Initiating Order of March 31, 2020, attached hereto and incorporated by reference herein.
- 2. Delbert Collett agrees to pay the Commission a civil penalty of seven hundred, fifty dollars (\$750.00) on or before September 16, 2020. If Delbert Collett fails to make payment in full by the deadline, then Mr. Collett agrees to be responsible for the full civil penalty allowable pursuant to KRS 11A.100(3)(e) of five thousand dollars (\$5,000) per count for a total amount owing of five thousand dollars (\$5,000), which will be reduced by any payments Mr. Collett has made prior to the deadline.
- 3. Collett agrees that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
- 4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Delbert Collett*, Case No. 20-003.

5. This Settlement Agreement constitutes a public reprimand to Delbert Collett, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

<u> </u>	1-27-2020
Delbert Collett	Date
EXECUTIVE BRANCH ETHICS COMM	MISSION:
Christopher L. Thacker Christopher L. Thacker (Mar 31, 2020)	Mar 31, 2020
Christopher L. Thacker, Chair	Date
Christopher W. Brooker Christopher W. Brooker (Mar 3), 2020)	Mar 31, 2020
Christopher W. Brooker, Vice-Chair	Date
April A. Wimberg April A. Wimberg (Mar 3 1, 2000)	Mar 31, 2020
April A. Wimberg, Member	Date
Holly R. Jaccarino Holly R. Jaccarino (Mar 31, 2020)	Mar 31, 2020
Holly R. Iaccarino, Member	Date
Kyle M. Winslow Kyle M. Winslow (Mar 31, 2020)	Mar 31, 2020
Kyle M. Winslow, Member	Date